

PATENT

ATTORNEY DOCKET NUMBER: 00398/512002

Certificate of Mailing: Date of Deposit: March 7, 2002

I hereby certify under 37 C.F.R. § 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

ROSE SCARFO
Printed name of person mailing correspondence

Roseleane Scarfo
Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan S. Kopin et al.

Art Unit:

Serial No.: 09/966,871

Examiner:

Filed: September 28, 2001

Customer No.: 21559

Title: ASSAYS FOR IDENTIFYING RECEPTORS HAVING
ALTERATIONS IN SIGNALING

Assistant Commissioner For Patents
Washington, D.C. 20231

REPLY TO NOTICE TO COMPLY WITH REQUIREMENTS
FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE AND/OR AMINO ACID SEQUENCES

In reply to the Notice To Comply mailed November 7, 2001, Applicant submits
the following:

■ An initial paper copy of the sequence listing and an amendment directing its
entry into the specification.

■ An initial copy of the sequence listing in computer readable form.

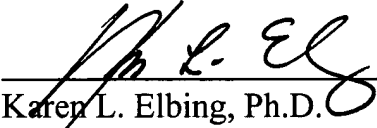
■ An initial that the contents of the paper and computer readable copies are the
same and contain no new matter.

Enclosed is a petition to extend the period for replying two months, to and including March 7, 2002.

If there are any charges, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: 7 March 2002



Karen L. Elbing, Ph.D.
Reg. No. 35,238

Clark & Elbing LLP
176 Federal Street
Boston, MA 02110
Telephone: 617-428-0200
Facsimile: 617-428-7045



21559

PATENT TRADEMARK OFFICE

\\Clark-w2k1\documents\00398\00398.512002 Reply to Notice to Comply with Sequence Requirements.wpd

2002 MAR 07 14:00



PATENT

ATTORNEY DOCKET NUMBER: 00398/512002

Certificate of Mailing: Date of Deposit: 3/7/02

I hereby certify under 37 C.F.R. § 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Colleen Coyne

Colleen Coyne

Printed name of person mailing correspondence

Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan S. Kopin et al.

Art Unit:

Serial No.: 09/966,871

Examiner:

Filed: September 28, 2001

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Title: ASSAYS FOR IDENTIFYING RECEPTORS HAVING
ALTERATIONS IN SIGNALING

Assistant Commissioner For Patents
Washington, D.C. 20231

REPLY TO NOTICE TO FILE MISSING PARTS

In reply to the Notice to File Missing Parts of Application mailed November 7, 2001 (a copy of which is enclosed), Applicant as a large entity submits herewith the following:

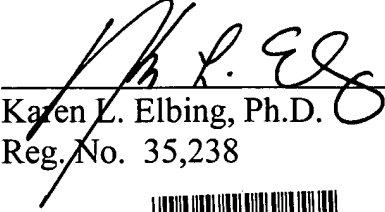
- A Combined Declaration and Power of Attorney in compliance with 37 C.F.R. § 1.63.
- Payment of the surcharge of \$130.00 for late filing of the basic filing fee/declaration.
- Drawings that comply with size requirements and that are clear and in permanent ink.

If there are any charges, or any credits, please apply them to Deposit Account No.

03-2095.

Respectfully submitted,

Date: 7 March 2002



Karen L. Elbing, Ph.D.
Reg. No. 35,238

Clark & Elbing LLP
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Boston, MA 02110
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,871	09/28/2001	Alan S. Kopin	00398/512002

21559
CLARK & ELBING LLP
176 FEDERAL STREET
BOSTON, MA 02110-2214

RECEIVED

NOV 13 2001

CLARK & ELBING

CONFIRMATION NO. 4950

FORMALITIES LETTER



OC000000007035433

Date Mailed: 11/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/15/2002 TGEDAMU1 00000113 09966871

FILED UNDER 37 CFR 1.53(b)

02 FEB 05

130.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant

ACTION DUE 11/17/02

DUE DATE 1-7-02

ESP 5-7-02

INITIALS J.M.

Sequence
listing/
Notice for
drawing.

1/7/02
5/7/02

desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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